FEB 2 6 7002 W

Attorney Docket No.: 53635-0505

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Maclen MARVIT, et al.

Group Art Unit: 2131

Application No.: 09/923,847

Examiner: Not Yet Assigned

Filed: August 6, 2001

Title: DYNAMIC ENCODING ALGORITHMS AND

INLINE MESSAGE DECRYPTION

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts Commissioner for Patents Washington, D.C. 20231 RECEIVED

NPR 0 1 2002

Sir:

**(X)** 

Technology Center 2100

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

Executed Declaration and Power of Attorney. The above-identified application is

the same app	lication which the inventor executed by signing the enclosed declaration.					
( )	Statutory basic filing fee ( ) Utility \$370.00 ( ) Design					
( )	Additional claim fees of \$					
(X)	Missing Parts Surcharge = \$65.00					
(X)	21 sheets of substitute drawings in compliance with 37 CFR 1.84					
( )	Extension of Time Request for reply to Notice of Missing Parts is requested.  ( ) one month = \$55.00  ( ) two month = \$200.00					
(X)	Applicant(s) is entitled to small entity status. See 37 CFR 1.27.					

Request for a Corrected Filing Receipt

Attorney Docket No.: 53635-0505

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: October 22-2001 Typed Name: Sheila Severinghaus

Sionature:

Respectfully submitted,

By

Edward A. Becker

Reg. No. 37,777

Date: October 22, 2001

Telephone No.: (408) 414-1204

Hickman Palermo Truong & Becker, LLP

1600 Willow Street

San Jose, California 95125-5106



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/923,847

08/06/2001

MacLen Marvit

53635-0505

Hickman Palermo Truong & Becker, L 1600 Willow Street San Jose, CA 95125-5106

**CONFIRMATION NO. 2160** FORMALITIES LETTER CC000000006465225 Date Mailed: 08/23/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APP

03/04/2002 SMINASS1 00000054 09923847

01 FC:205

65.00 OP

FILED UNDER 37 CFR 1.53(b)

APR 0 1 2002

Filing Date Granted

**Technology Center 2100** 

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

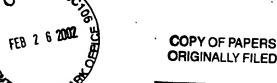
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

COPY OF PAPERS ORIGINALLY FILED

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE





Attorney Docket No

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Maclen Marvit, et al.

Serial No.: 09/923,847

Filed: August 6, 2001

DYNAMIC ENCODING ALGORITHMS AND

INLINE MESSAGE DECRYPTION

RECEIVED

Group Art Unit No.: 2131

Examiner: Not Yet Assigned

APR 0 1 2002

Technology Center 2100

**Box Missing Parts** Commissioner for Patents Washington, DC 20231

#### REQUEST FOR A CORRECTED FILING RECEIPT

Sir:

Please furnish the undersigned with a corrected filing receipt for the above-identified application. The corrections needed are as follows:

- (1) The first inventor's name, MacLen Marvit is incorrect and should read "Maclen Marvit".
- (2) The Domestic Priority data is incorrect and should read "This application is a CIP of 09/300,085 04/26/1999 and claims benefit of 60/222,815 08/04/00"

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Edward A. Becker

Registration No. 37,777

1600 Willow Street

Dated: 10-22-01

San Jose, California 95125-5106

Telephone: (408) 414-1204 Facsimile: (408) 414-1076

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on 27. 201.

by Shall Senhour

EABIS5



United States Patent and

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

www.uspto.gov APPLICATION NUMBER FILING DATE **GRP ART UNIT** FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS 09/923,847 08/06/2001 2131 1129 53635-0505 21 66 -12

**CONFIRMATION NO. 2160** 

Hickman Palermo Truong & Becker, LL 1600 Willow Street San Jose, CA 95125-5106

OC000000006465224\*

Date Mailed: 08/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Maclen

-MaeLen Marvit, Residence Not Provided: Keith David Rosema, Residence Not Provided; Jeffrey Ubois, Residence Not Provided: David Marvit, Residence Not Provided: Dean Brettle, Residence Not Provided; Yair Zadik, Residence Not Provided: Stuart Goodnick, Residence Not Provided:

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APR 0 1 2002

Technology Center 2100

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON 09/300,085 04/26/1999 AND CLAIMS BENEFIT OF 60/222,815 08/04/2000

Foreign Applications

If Required, Foreign Filing License Granted 08/22/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

DOCKETED DATES:

Title

Dynamic encoding algorithms and inline message decryption

COPY OF PAPERS ORIGINALLY FILED

**Preliminary Class** 

709

Data entry by : TAN, LEA-YUET

Team : OIPE

Date: 08/23/2001

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### LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination **Customer Service Center** Washington, DC 20231



### UNITED STATES PATENT AND TRADEMARK OFFICE

# FILE COPY

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UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov



CONFIRMATION NO. 2160



SERIAL NUMBE 09/923,847	FILING DATE 08/06/2001 RULE	<b>CLASS</b> 709	<b>GROUP AR</b> 2131	T UNIT	<b>ATTORNEY DOCKET NO.</b> 53635-0505		
Maclen Marvit, San Francisco, CA; Keith David Rosema, Seattle, WA; Jeffrey Ubois, Albany, CA; David Marvit, San Francisco, CA; Dean Brettle, Gaithersburg, MD; Yair Zadik, Palo Alto, CA; Stuart Goodnick, San Francisco, CA;  *** CONTINUING DATA **********************************							
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ** ** 08/22/2001							
Foreign Priority claimed 35 USC 119 (a-d) condit met Verified and Acknowledged	Allowance	er COUNTRY CA	SHEETS DRAWING 21	TOTAL CLAIMS 66	INDEPENDENT CLAIMS 12		
ADDRESS Hickman Palermo 1600 Willow Street San Jose ,CA 951							
TITLE  Dynamic encoding algorithms and inline message decryption							
			<u>1.1</u>	☐ All Fees ☐ 1.16 Fees ( Filing )			
RECEIVED N	EES: Authority has been gi lo to charge/cr lo for following	edit DEPOSIT ACCOU	NT time )	☐ 1.17 Fees ( Processing Ext. of time ) ☐ 1.18 Fees ( Issue )			
				Other			

TRUONG & BECKER, LLP INTELLECTUAL PROPERTY LAW 1600 WILLOW STREET SAN JOSE, CALIFORNIA 95125-5106 HICKMAN PALERMO

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Commissioner for Patents Washington, D.C. 20231